SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

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1. Name and Addre	ess of Reporting F	Person [*]	2. Issuer Name and Ticker or Trading Symbol TANDEM DIABETES CARE INC [TNDM	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Leal James				Director 10% Owner Conficer (give title Other (specify balance)							
(Last) 12400 HIGH B	(First) LUFF DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2024	Chief Manufacturing Officer							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)		02120		X Form filed by One Reporting Person							
SAN DIEGO	СА	92130		Form filed by More than One Reporting Person							
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication								
			Check this box to indicate that a transaction was made pursuant to satisfy the affirmative defense conditions of Rule 10b5-1(c). See In								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

· · · · · ·													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock	02/15/2024		М		167	Α	\$ <mark>0</mark>	10,280	D				
Common Stock	02/15/2024		F ⁽¹⁾		78	D	\$24.29	10,202	D				
Common Stock	02/15/2024		М		806	A	\$ <mark>0</mark>	11,008	D				
Common Stock	02/15/2024		F ⁽¹⁾		376	D	\$24.29	10,632	D				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2. 3. Transaction Conversion or Exercise Price of Derivative Security 3A. Deemed (Month/Day/Year) 4. 5. Number of Indirect Beneficial (Month/Day/Year) 5. Number of Indirect Beneficial (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative (Instr. 3 and 4) 8. Price of Derivative Securities Underlying Derivative Security (Instr. 3 and 4) 9. Number of derivative Securities Underlying Derivative Security (Instr. 4) 10. 11. Nature of Indirect Beneficial Ownership (Instr. 4)														
1. Title of Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date	Execution Date, if any	Transa Code		of E Derivative (Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security		Derivative Security	derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit ⁽²⁾	(3)	02/15/2024		М			167	(4)	(4)	Common Stock	167	\$0	838	D	
Restricted Stock Unit ⁽⁵⁾	(3)	02/15/2024		М			806	(6)	(6)	Common Stock	806	\$0	4,029	D	

Explanation of Responses:

1. Shares withheld by Tandem Diabetes Care, Inc. (the Company) to satisfy tax withholding requirements on vesting of restricted stock units (RSU). No shares were sold.

2. Awarded on May 18, 2021 pursuant to the Tandem Diabetes Care, Inc. 2013 Stock Incentive Plan, as amended, and the agreements related thereto (the 2013 Plan).

3. Each RSU represents a contingent right to receive either one share of common stock of the Issuer or cash in lieu thereof, at the Issuer's discretion, in accordance with the terms of the 2013 Plan.

4. RSU vest as to twenty-five percent (25%) of the total number of shares subject to the RSU on 5/15/2022, and the remaining shares shall vest in twelve (12) equal quarterly installments thereafter. 5. Awarded on May 25, 2022 pursuant to the 2013 Plan.

6. RSU vest as to thirty-three percent (33%) of the total number of shares subject to the RSU on 5/15/2023, and the remaining shares shall vest in eight (8) equal quarterly installments thereafter.

Remarks:

<u>/s/ Rachel Malina, Attorney-in-</u> Fact for James A. Leal 02/20/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.