| SEC Form 4 |
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|                       |                  | Table I Non D       | erivative Securities Acquired, Disposed of, or Benefi                                 | ioiolly  | Ownod   |                          |  |  |  |
|-----------------------|------------------|---------------------|---|--|---|--------------------------|--|--|--|
| (City)                | (State)          | (Zip)               |   |  | 1 613011  |                          |  |  |  |
| (Street)<br>SAN DIEGO | CA               | 92121               |   | Line)  | Form filed by One Re<br>Form filed by More th<br>Person |                          |  |  |  |
|                       |                  |                     | 4. If Amendment, Date of Original Filed (Month/Day/Year)                              | 6. Individual or Joint/Group Filing (Check Applicable                      |   |                          |  |  |  |
| 11075 ROSELI          | LE STREET        |                     |   |  |   |                          |  |  |  |
| C/O TANDEM            | I DIABETES       | CARE, INC.          | 02/27/2023  |  | 5 v 1, Opera  |                          |  |  |  |
| (Last)                | (First)          | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year)                                      | 1  | SVP, Opera  | ations                   |  |  |  |
|                       |                  |                     |   |  | Officer (give title<br>below)                           | Other (specify<br>below) |  |  |  |
| Leal James            |                  |                     | 1   | ľ  | Director  | 10% Owner                |  |  |  |
| 1. Name and Addr      | ess of Reporting | Person <sup>*</sup> | 2. Issuer Name and Ticker or Trading Symbol<br><u>TANDEM DIABETES CARE INC</u> [ TNDM | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |   |                          |  |  |  |
|                       |                  |                     |   |  |   |                          |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) 2A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Year) |  | 3.<br>Transaction<br>Code (Instr.<br>8) |   |        |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|---|--|---|---|--------|---------------|-------------------|---|---|---|
|                                 |   |  | Code                                    | v | Amount | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150. 4)   |
| Common Stock                    | 02/27/2023  |  | М                                       |   | 310    | Α             | \$ <mark>0</mark> | 5,951   | D   |   |
| Common Stock                    | 02/27/2023  |  | <b>F</b> <sup>(1)</sup>                 |   | 145    | D             | \$35.87           | 5,806   | D   |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |                                |      |   |   |     | · •  | ·                  |  | ,                                      |   |  |  |  |
|---|---|--|--------------------------------|------|---|---|-----|--|--------------------|--|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | te Execution Date, Transaction |      |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |                                | Code | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Stock<br>Unit <sup>(2)</sup>          | (3)   | 02/27/2023                                 |                                | М    |   | 310   |     | (4)  | (4)                | Common<br>Stock  | 310                                    | \$ <u>0</u>   | 1,548  | D  |  |

#### **Explanation of Responses:**

1. Shares withheld by Tandem Diabetes Care, Inc. (the Company) to satisfy tax withholding requirements on vesting of restricted stock units (RSU). No shares were sold.

2. Granted pursuant to the Tandem Diabetes Care, Inc. 2013 Stock Incentive Plan (the "2013 Plan").

3. Each RSU represents a contingent right to receive either one share of common stock of the Issuer or cash in lieu thereof, at the Issuer's discretion, in accordance with the terms of the 2013 Plan.

4. RSUs granted on 5/27/2020 vest as to twenty-five percent (25%) of the total number of shares subject to the RSU on the one year anniversary of the grant date, and the remaining shares shall vest in twelve (12) equal quarterly installments thereafter, subject to the terms of the 2013 Plan.

### Remarks:

/s/ Rachel Malina, Attorney-in-03/01/2023

Fact for James A. Leal

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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