## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Allen Dick						2. Issuer Name and Ticker or Trading Symbol TANDEM DIABETES CARE INC [ TNDM								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Alleli Dick					_   1									X Director 10% Owner Officer (give title Other (spec					-	
(Last) (First) (Middle)						Date of Earliest Transaction (Month/Day/Year)								below) below)						
C/O TANDEM DIABETES CARE, INC., 11075 ROSELLE STREET						/29/20	)20													
						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SAN DIEGO CA 92121															X Form filed by One Reporting Person Form filed by More than One Reporting					
(Cit.) (Cit.) (Ti.)				-										son	iviore ti	ian One	кери	rung		
(City) (State) (Zip)  Table I - Non-Deriva								: A			Diamana d	- <b>f</b> l	Danafia	ially Over						
1. Title of	Security (Inst		ie i -	2. Transaction	_	2A. Dec		1	3.	rea, i	4. Securities			5. Amoun		6. Own	ership	7. Nat	ture of	
, (····································				Date (Month/Day/Yea		Execution I if any (Month/Day		ate,	Transaction Code (Instr. 8)		Disposed Of	(D) (Instr	. 3, 4 and !	Beneficially Owned Following		Form: Direct (D) or Indirect (I) (Instr. 4)		Indirect Beneficial Ownership		
						•		,	Code	v	Amount	(A) or (D)	Price	Reported Transaction (Instr. 3 au	on(s)			(Instr.		
Common	Stock			01/29/20	20				M <sup>(1)</sup>		1,671	A	\$11.05	9 1,6	71	Ι	)			
Common Stock 01/29/202				20	)			S <sup>(2)</sup>		1,671	D	\$75.56	(3)	D		)				
Common Stock 01/29/20				20	)			<b>M</b> <sup>(1)</sup>		1,700	A	\$19 1,70		00 D						
Common Stock 01/29/2				01/29/20	20	)			S <sup>(2)</sup>		1,700	D	\$76 <sup>(4)</sup>	0	0		D			
Common Stock 01/29/				01/29/20	20	0					5,300	A	\$2.59		00	D				
Common Stock 0:				01/29/20	20	<u> </u>			S <sup>(2)</sup>		5,300	D	\$75.95	(5) 0		D				
				01/29/20					M <sup>(1)</sup>		1,700	A	\$2.54			D				
Common Stock 01/29/202				20	<u> </u>			S <sup>(2)</sup>		1,700	D	\$76.15	(6) 0		D					
Common Stock														37,00		I		See Footnote <sup>(7)</sup>		
Common Stock													1,50	00	]	I	See Foot	notes <sup>(8)</sup>		
Common Stock													1,500		I		See Footnote(s) <sup>(9)</sup>			
Common Stock													10,0	00	I		See Footnotes) <sup>(10)</sup>			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of	uts, e	<del></del>			<del></del>		rcisable and 7. Title and			<del>_</del>					11. Nature					
Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	ution Date, / /th/Day/Year)	Transa Code ( 8)	action Instr.	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			ration nth/Day		Amour Securit Underl Derivat Securit and 4)	ies ying	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		of Indirect Beneficial Ownership (Instr. 4)	
													Amount or Number							
					Code	v	(A)	(D)	Date Exer	cisable	Expiration Date	Title	of Shares							
Common Stock	\$11.059	01/29/2020		N				1,671	(11)		(12)	Stock Option	1,671	\$0		0				
Common Stock	\$19	01/29/2020		М				1,700	00 (13)		(12)	Stock Option	1,700	\$0	\$0		D			
Common Stock	\$2.59	01/29/2020		N				5,300	(13)		(12)	Stock Option	5,300	\$0	0		D			
Common \$2.54 01/29/2020				A			1,700	700 (13)		(12)	Stock Option	1,700	\$0	0		D				
		1							_				1		1					

## **Explanation of Responses:**

- 1. Represents shares of common stock received upon exercise of a stock option award.
- $2. \ The shares were sold, pursuant to a Rule 10b5-1 \ trading \ plan \ adopted \ by \ the \ Reporting \ Person \ August \ 22, 2019.$

- 3. The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$75.29 to \$76.29. The Reporting Person undertakes to provide the Securities and Exchange Commission (the "SEC"), the issuer, and any security holder full information regarding the number of shares and the prices at which the shares were sold.
- 4. The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$75.73 to \$76.48. The Reporting Person undertakes to provide the Securities and Exchange Commission (the "SEC"), the issuer, and any security holder full information regarding the number of shares and the prices at which the shares were sold.
- 5. The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$75.87 to \$76.10. The Reporting Person undertakes to provide the Securities and Exchange Commission (the "SEC"), the issuer, and any security holder full information regarding the number of shares and the prices at which the shares were sold.
- 6. The price reported is the weighted average price. The shares were sold in multiple transactions at prices ranging from \$76.06 to \$76.54. The Reporting Person undertakes to provide the Securities and Exchange Commission (the "SEC"), the issuer, and any security holder full information regarding the number of shares and the prices at which the shares were sold.
- 7. The securities are held by the Allen Family Trust dated October 12, 1981.
- 8. The securities are held by the Gammon Children's 2000 Trust FBO Hannah Lee Gammon. The Reporting Person is co-trustee of the Gammon Children's 2000 Trust FBO Hannah Lee Gammon and has shared voting and investment power over the securities held by the Gammon Children's 2000 Trust FBO Hannah Lee Gammon and may be deemed to have indirect beneficial ownership of such securities. The Reporting Person disclaims beneficial ownership of such securities held by the Gammon Children's 2000 Trust FBO Hannah Lee Gammon.
- 9. The securities are held by the Gammon Children's 2000 Trust FBO Jake Allen Gammon. The Reporting Person is co-trustee of the Gammon Children's 2000 Trust FBO Jake Allen Gammon and has shared voting and investment power over the securities held by the Gammon Children's 2000 Trust FBO Jake Allen Gammon and may be deemed to have indirect beneficial ownership of such securities. The Reporting Person disclaims beneficial ownership of such securities held by the Gammon Children's 2000 Trust FBO Jake Allen Gammon.
- 10. The securities are held by Allen Cornerstone Ventures L.P. The Reporting Person is the managing partner of Allen Cornerstone Ventures L.P. and may be deemed to have indirect beneficial ownership of such securities. The Reporting Person disclaims beneficial ownership of such securities held by Allen Cornerstone Ventures L.P., except to the extent of his proportionate pecuniary interest therein.
- 11. The option shall vest in equal monthly installments for a period of twenty-four(24) months.
- 12. The expiration date for these options is 10 years from the date of grant.
- 13. The option vested in twelve (12) equal monthly installments after one year from grant.

## Remarks:

<u>David B. Berger, Attorney-in-</u> <u>Fact for Dick Allen</u> <u>01/31</u>

01/31/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.