SEC Form 4

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FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

		Tabla I Nan D	erivative Securities Acquired, Disposed of, or Benefi		Owned			
(City)	(State)	(Zip)	—		Person	. •		
(Street) SAN DIEGO	CA	92121		Line)	Form filed by One Re Form filed by More th			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
11075 ROSELI		- ,	00/10/2021					
C/O TANDEM	DIABETES (CARE, INC.	3. Date of Earliest Transaction (Month/Day/Year) 08/16/2021		EVP & Chief Adı	nin. Officer		
(Last)	(First)	(Middle)		X	Officer (give title below)	Other (specify below)		
1. Name and Address of Reporting Person [*] Morrison Susan			2. Issuer Name and Ticker or Trading Symbol <u>TANDEM DIABETES CARE INC</u> [TNDM]		ationship of Reporting Pe (all applicable) Director	10% Owner		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Disposed Of		3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Benerited	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/16/2021		M ⁽¹⁾		80	Α	\$73.726	7,792	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrant	\$73.726	08/16/2021		М		80		08/16/2021	(2)	Common Stock	80	\$73.726	0	D	

Explanation of Responses:

1. The transaction reflects the cash exercise of warrants to purchase common stock, at an exercise price of \$73.726.

2. The expiration dates for these warrants are 10 years from the original grant date.

Remarks:

s/ David B. Berger, Attorney-

in-Fact for Susan M. Morrison

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

08/18/2021

Date